AGREEMENT CITY OF FRESNO, CALIFORNIA CONSULTANT SERVICES

THIS AGREEMENT is made and entered into effective the _____ day of November, 2018, by and between the CITY OF FRESNO, a California municipal corporation (hereinafter referred to as "CITY"), and Electrical Power Systems, Inc., a California corporation (hereinafter referred to as "CONSULTANT").

RECITALS

WHEREAS, CITY desires to obtain professional engineering services for the design of plans and general construction contract documents for Design of Substation Expansion at the Regional Wastewater Reclamation Facility, hereinafter referred to as the "Project;" and

WHEREAS, CONSULTANT is engaged in the business of furnishing services as a professional engineer and hereby represents that it desires to and is professionally and legally capable of performing the services called for by this Agreement; and

WHEREAS, CONSULTANT acknowledges that this Agreement is subject to the requirements of Fresno Municipal Code Section 4-107 and Administrative Order No. 6-19; and

WHEREAS, this Agreement will be administered for CITY by its Director of Public Utilities (hereinafter referred to as "Director") or his/her designee.

AGREEMENT

NOW, THEREFORE, in consideration of the foregoing and of the covenants, conditions, and promises hereinafter contained to be kept and performed by the respective parties, it is mutually agreed as follows:

1. Scope of Services and Completion Schedule. CONSULTANT shall perform the services described herein and in **Exhibit A** to complete the Project more fully described in **Exhibit A**, and this shall include all work incidental to, or necessary to perform, such services even though not specifically described in **Exhibit A**. The services of CONSULTANT shall consist of five Parts as described below. A separate Notice to Proceed will be issued for each of the aforementioned Parts. By entry into this Agreement and upon CITY'S issuance of a written "Notice to Proceed," CITY contracts for the services in Part One. CONSULTANT shall not perform any other Part of the Agreement, and this Agreement shall not be a contract for any other Part, until further performance is authorized by CITY'S issuance of a written "Notice to Proceed." It shall, however, remain CONSULTANT'S offer to perform all remaining parts described herein. In the event CONSULTANT performs services without CITY'S prior written authorization, CONSULTANT will not be entitled to compensation for such services.

(a) Part One. Schematic Design Phase.

- (1) CONSULTANT shall review the description of the Project set forth in **Exhibit A** and consult with designated representatives of CITY to ascertain the requirements of the Project.
- (2) CONSULTANT shall complete a topographic survey in accordance with the detailed minimum survey requirements set forth in **Exhibit A**. The topographic survey shall include sufficient detail for the design of the Project, to establish pertinent right-of-way and property lines, and as necessary to obtain the acceptance of

- CITY. CONSULTANT shall provide CITY with an electronic file of the topographic survey in the following format:

 AutoCad and PDF.
- (3) CONSULTANT shall conduct studies and investigations as necessary to confirm requirements of design including, but not limited to, (i) consulting with the various utility agencies, and (ii) obtaining all information and data from the respective responsible CITY department/division that is available in CITY'S records and is required by CONSULTANT in connection with the consulting services including, but not limited to, maps, reports, information, restrictions and easements.
- (4) CONSULTANT shall provide a preliminary evaluation of the Project taking into consideration CITY'S estimate of the cost of construction ("Construction Budget") of Two million, eight hundred sixty three thousand, nine hundred forty three dollars (\$2,863,943), including alternative approaches to design and construction of the Project.
- (5) Based upon the mutually agreed upon Project requirements and any adjustments authorized by CITY in the Construction Budget, CONSULTANT shall design and prepare schematic design drawings and other documents for review, modification, if required, and acceptance by CITY staff sufficient to show the concept and scope of the proposed Project and the scale and relationship of Project components.
- (6) CONSULTANT shall submit a preliminary estimate of construction cost for review and acceptance by CITY. As used herein, "construction cost" means the cost of construction under the general construction contract and does not include CONSULTANT'S compensation as herein provided. Such estimate shall include, and shall separately state, the cost of any add or deduct alternatives, the cost of any work which may be let on a segregated bid basis and any equipment or fixtures which may be incorporated in or excluded from the general construction contract as may be necessary to stay within the Construction Budget.
- (7) CONSULTANT shall make as many submittals as may be necessary or desirable to obtain the acceptance by CITY and shall assist CITY in applying for and obtaining from applicable public agencies any approval permit, or waiver required by law, which assistance shall include, but not be limited to, making Project information available to CITY.
- (8) CONSULTANT may not rely upon any as-builts provided by CITY, but shall investigate the existing conditions and ascertain the adequacy of such as-builts for CONSULTANT'S design. CONSULTANT shall bring to CITY'S attention any discrepancies in the as-builts that are discovered by CONSULTANT. CITY makes no representations regarding any as-builts.
- (9) Services shall be undertaken and completed in a sequence assuring expeditious completion. All services shall be rendered and deliverables submitted within ninety (90) calendar days from the issuance of a Notice to Proceed for this Part unless an extension of time is approved in writing by the Director. Resubmittals, as necessary to obtain the acceptance by CITY, shall be submitted to CITY

within twenty one (21) calendar days from receipt of CITY'S comments unless an extension of time is approved in writing by the Director.

- (b) <u>Part Two.</u> <u>Design Development Phase</u>. After review and acceptance of the schematic design phase and issuance of a written Notice to Proceed with this Part Two:
- (1) Based upon the accepted schematic design documents and the Construction Budget, including authorized revisions thereto, CONSULTANT shall prepare for review and acceptance by CITY the design development documents consisting of drawings and other documents to fix and describe the size and character of the Project as necessary to show treatment of significant details. In addition, CONSULTANT shall provide outline specifications of the work as to kinds of materials, systems, and other such design elements as may be required. Such design development documents and specifications shall be subject to review and acceptance by CITY.
- (2) CONSULTANT shall submit a revised estimate of construction cost for review and acceptance by CITY. The revised estimate shall include, but shall separately state, the cost of any add or deduct alternates, any work which may be let on a segregated bid basis, and any furnishings, equipment or fixtures which may be incorporated in or excluded from the general construction contract as may be necessary to stay within the Construction Budget, including authorized revisions thereto.
- (3) In the event that the revised estimate of construction cost exceeds the preliminary estimate of construction cost previously accepted, excluding therefrom any add alternate, any work which may be let on a segregated bid basis and any furnishing, equipment or fixtures which was identified in Part 1 as that which may be excluded from the general construction contract, CITY shall have the option of accepting or rejecting the revised estimate and CONSULTANT shall, at no additional cost to CITY, make such design changes as may be necessary to reduce the revised estimate so that it shall not exceed the preliminary estimate of construction cost previously accepted by CITY. CITY shall not increase the scope of the Project except by modification of this Agreement which shall include an agreed upon increase in CONSULTANT'S compensation.
- (4) CONSULTANT shall make as many submittals as may be necessary or desirable to obtain the acceptance by CITY and shall assist CITY in applying for and obtaining from applicable public agencies any approval, permit, or waiver required by law, which assistance shall include, but not be limited to, making Project information available to CITY.
- (5) Services shall be undertaken and completed in a sequence assuring expeditious completion. All services shall be rendered and deliverables submitted within thirty (30) calendar days from the issuance of a Notice to Proceed for this Part unless an extension of time is approved in writing by the Director. Resubmittals, as necessary to obtain the acceptance by CITY, shall be submitted to CITY within twenty one (21) calendar days from receipt of CITY'S comments unless an extension of time is approved in writing by the Director.

- (c) <u>Part Three. Construction Document Phase</u>. After review and acceptance of the design development phase and issuance of a written Notice to Proceed with this Part Three:
- CONSULTANT shall prepare from the accepted design (1) development documents, detailed plans and specifications setting forth the complete work to be done, and the materials, workmanship, finishes and equipment, fixtures, and site work required. CONSULTANT shall also prepare necessary bidding information, general and special conditions of the general construction contract, technical specifications of the general construction contract, and the bid proposal and general construction contract forms. Such documents shall be subject to the review and acceptance by CITY. CONSULTANT shall cooperate with, assist and be responsive to CITY'S Purchasing Manager in preparation of all documents including, without limitation, slip-sheeting final documents for printing when requested. CITY'S Standard Specifications must be used by CONSULTANT where possible. Final drawings shall be drawn, printed or reproduced by a process providing a permanent record in black on vellum, tracing cloth, polyester base film, or high quality bond copy. Bid, general conditions, contract and bond document forms or formats regularly used by CITY shall be used by CONSULTANT unless the Director determines they would be impractical for this Project. CONSULTANT shall be responsible for assuring that the special conditions, technical specifications and any other documents prepared by CONSULTANT are consistent with any documents regularly used by CITY that are used for this Project.
- (2) Upon request of CITY, CONSULTANT shall provide the calculations used to determine the general construction contract quantities; and structural calculations for the purpose of obtaining any building permits.
- (3) CONSULTANT shall make as many submittals as may be necessary or desirable to obtain the acceptance by CITY and shall assist CITY in applying for and obtaining from applicable public agencies any approval, permit, report, statement, or waiver required by law, which assistance shall include, but not be limited to, making Project information available to CITY.
- (4) CONSULTANT shall provide CITY with five (5) sets of completed plans and five (5) sets of completed specifications for review and final acceptance by CITY. Should the plans and specifications as submitted by CONSULTANT not be accepted by CITY, CONSULTANT shall revise the plans and specifications as needed to obtain final acceptance at no additional cost to CITY.
- (5) After acceptance of final corrections, if any, CONSULTANT shall provide CITY with one set of accepted reproducible tracings and bid documents for the Project. In addition, CONSULTANT shall provide CITY with one complete set of CAD/System disk files of drawings and complete disk files of specifications in the following format: AutoCad and PDF.
- (6) CONSULTANT shall submit a final estimate of construction cost for review and acceptance by CITY. Such estimate shall be calculated as of the date all general construction contract documents are delivered to CITY in final form ready for reproduction and advertising. Such estimate shall include, but shall separately state, the cost of any add or deduct alternates, any work which may be let on a segregated basis,

and any equipment, or fixtures which may be incorporated in or excluded from the general construction contract.

- (7) In the event that the final estimate of construction cost exceeds the revised estimate of construction cost previously accepted, excluding therefrom any add alternate, any work which may be let on a segregated bid basis and any furnishings, equipment or fixtures which was identified in the final revised estimate in Part 2 as that which may be excluded from the general construction contract, CITY shall have the option of accepting or rejecting the final estimate. If CITY elects to reject the final estimate, CONSULTANT shall at no additional cost to CITY, make such design changes as may be necessary to reduce the final estimate so that it shall not exceed the revised estimate of construction cost previously accepted by CITY.
- (8) Services shall be undertaken and completed in a sequence assuring expeditious completion. All services shall be rendered and deliverables submitted within sixty (60) calendar days from the issuance of a Notice to Proceed for this Part unless an extension of time is approved in writing by the Director. Resubmittals, as necessary to obtain the acceptance by CITY, shall be submitted to CITY within twenty one (21) calendar days from receipt of CITY'S comments unless an extension of time is approved in writing by the Director.
- (d) <u>Part Four</u>. <u>Bidding Phase</u>. After review and acceptance of the construction document phase and if CITY elects to proceed to bid, which shall constitute a written Notice to Proceed with this Part Four:
 - (1) CONSULTANT shall assist CITY in obtaining bids. CONSULTANT shall not communicate with potential bidders regarding this Project without the express prior written authorization of CITY'S Purchasing Manager.
 - (2) Upon request of CITY, CONSULTANT shall expeditiously draft addendum as determined by CITY to be reasonable or necessary for the bidding process.
- (3) If the lowest responsible bid received for the general construction contract exceeds by 10% or more the final estimate of construction cost previously accepted by CITY, excluding therefrom any add alternate, any work which may be let on a segregated bid basis and any furnishings, equipment or fixtures which are excluded from the general construction contract, CONSULTANT shall, within 14 days of any request by CITY, revise the plans and specifications as may be necessary to stay within 10% of such final estimate of construction cost, at no additional cost to CITY provided such bid is received within 180 calendar days after completion of services in Section 1(c) of this Agreement. CONSULTANT shall also submit such revised plans and specifications, together with a new final estimate of construction cost, to CITY for review and acceptance. This procedure, using the latest accepted final estimate of construction cost, shall, upon written notice to CONSULTANT from the Director, be repeated until an acceptable bid is received that does not exceed the accepted final estimate of construction cost by more that 10%.
- (e) <u>Part Five. Construction Phase and General Construction Contract Administration</u>. The construction phase will begin with the award of the general construction contract, which shall constitute a written Notice to Proceed with this Part

Five, and will terminate when a Notice of Completion is filed. Upon award of a general construction contract for the Project and under the direction of the Director through CITY'S designated Construction Manager for the Project:

- (1) CONSULTANT shall attend the pre-construction conference and, if called upon by CITY, act on CITY'S behalf in discussing the various aspects of the construction phase.
- (2) CONSULTANT shall review and recommend in writing to CITY acceptance or non-acceptance of shop drawings, equipment and material submittals of the general construction contractor as required by the general construction contract and applicable laws and regulations in a timely manner. The period for CONSULTANT review shall be as specified in the general construction contract, except if such period is not so specified, the period shall be as determined in the pre-construction conference as mutually agreed upon by CITY, CONSULTANT and the general construction contractor.
- (3) CONSULTANT shall, at intervals appropriate to the state of construction, familiarize itself with the progress and quality of the work and determine in general if the work is proceeding in accordance with the general construction contract documents, and keep CITY informed of the progress of the work. In the event that CONSULTANT'S visit to the site results in the discovery of any defect or deficiencies in the work of the general construction contractor, CONSULTANT shall immediately advise CITY and document, in writing, the work CONSULTANT deems substandard, and make recommendations where appropriate to reject any work not conforming to the intended design or specifications. Based on CONSULTANT'S best knowledge, information and belief, CONSULTANT shall provide CITY a general written assurance that the work covered by a payment application meets the standards in the general construction contract. As to technical aspects, CONSULTANT shall provide a written judgment of the acceptability of the work for payment applications and final acceptance, subject to CITY'S right to overrule CONSULTANT.
- (4) Upon written request by CITY, CONSULTANT shall render interpretations of the general construction contract documents necessary for the proper execution or progress of the work.
- Upon written request by CITY, CONSULTANT shall render written recommendations on change orders, claims, disputes or other questions arising out of the general construction contract, in a timely manner. Recommendations by CONSULTANT in favor of a change order that is consequently accepted by CITY shall constitute approval by CONSULTANT who shall then approve the change order in CONSULTANT shall not unreasonably withhold written approval in the event CITY accepts a change order that CONSULTANT recommended to be rejected. In the event of any technical disputes, CONSULTANT shall provide CITY with CONSULTANT'S written interpretation of the contract documents. The period for CONSULTANT review shall be as specified in the general construction contract, except if such period is not so specified, the period shall be as determined in the preconstruction conference as mutually agreed upon by CITY, CONSULTANT and the general construction contractor. If CITY, CONSULTANT and the respective general construction contractor are unable to mutually agree on such period for CONSULTANT review, then CITY will make the determination and that determination will be final.

- (6) Upon written request by CITY, CONSULTANT shall provide such design and specification services as may be requested by CITY to implement change orders necessary for clarification or interpretation of the general construction contract documents or which may have resulted from errors or omissions by CONSULTANT.
- (7) Where change orders arise as a result of an increase in the scope of work or are due to unforeseeable conditions, the parties may modify this Agreement, which modification shall include an agreed upon increase in CONSULTANT'S compensation.
- (8) Upon written request of CITY, CONSULTANT shall assist CITY in the preparation of Progress Payment Estimates and other related construction reports.
- (9) CONSULTANT shall provide CITY with two sets of original as-grade plans wet-stamped and signed by the CONSULTANT'S Engineer of Record for the Project submitted for final approval by the CITY's Building and Safety Services Division of the Development and Resource Management Department
- (10) CONSULTANT shall prepare Record Drawings by updating the accepted general construction documents in Part 3 to reflect all changes or deviations that occurred during construction as reflected on or from each of the following: (i) the general construction contractor provided red-lined plans, (ii) those furnished by the CITY, (iii) CONSULTANT provided Request for Information responses, and (iv) any CONSULTANT bulletins, amendments or clarifications. CONSULTANT shall provide CITY with one set of vellum Record Drawings for the Project within twenty one (21) calendar days from receipt of red-lined field markups unless an extension of time is approved in writing by the Director. Re-submittals, as necessary to obtain the acceptance by CITY, shall be submitted to CITY within fourteen (14) calendar days from receipt of CITY comments unless an extension of time is approved in writing by the Director. In addition, CONSULTANT shall provide CITY with one complete set of CAD/System disk files of Record Drawings in the following format: AutoCad and PDF.

2. CITY'S responsibilities. CITY will:

- (a) Provide, upon request and cooperation of CONSULTANT, access to, and make all provisions necessary to, enter upon public or private lands as required for CONSULTANT to perform such services and inspections as are required in development of the Project; provided, however, if CITY is unable to obtain access to enter upon public or private lands, CONSULTANT shall not be relieved from performing its services as to those public and private lands that are accessible.
- (b) Manage and be responsible for all negotiations with owners in connection with land or easement acquisition and provide all required title reports and appraisals.
- (c) With the exception of preparing correspondence required for design, hold all required special meetings, serve all public and private notices, receive and act upon all protests, and perform all services customarily performed by owners as are necessary for the orderly progress of the work and the successful completion of the Project, and pay all costs incidental thereto.

- (d) Select the testing laboratory and pay the cost of borings, samplings, and other work involved in soils testing during construction.
- (e) Conduct onsite inspection during construction to check quality and quantity of work as conditions warrant and be responsible for assuring that the general construction contractor carries out all construction work in accordance with the plans and specifications. However, this does not release CONSULTANT from its responsibility to make periodic site visits under Section 1(e) for the purpose of observing the work to determine its general conformity with the plans and specifications and reporting its findings to CITY.
- (f) Prepare all change orders during construction in cooperation with CONSULTANT.
- (g) Prepare all Progress Payment Estimates in cooperation with CONSULTANT following its general assurance that the work covered by a payment application meets the standards in the general construction contract documents based upon CONSULTANT'S best knowledge, information and belief.
- (h) Pay, or cause to be paid, plan check fees, conditional use permit fees and site plan review fees.
- (i) Arrange for and pay, or cause to be paid, any fees associated with Environmental Impact Reports or Statements.
- (j) Give reasonably prompt consideration to all matters submitted by CONSULTANT for acceptance to the end that there will be no substantial delays in CONSULTANT'S program of work. For an acceptance, approval, authorization, a request or any direction to CONSULTANT to be binding upon CITY under the terms of this Agreement, such acceptance, approval, authorization, request or direction must be in writing, duly authorized by CITY and signed on behalf of CITY by the Director.

3. Compensation.

- (a) CONSULTANT'S sole compensation for satisfactory performance of all services required or rendered pursuant to this Agreement shall be a total fee of One Hundred Seventy Seven Thousand and Three Dollars (\$177,003), and a contingency amount not to exceed Ten Thousand Dollars (\$10,000) for any additional work rendered pursuant to Subsection (d) below and authorized in writing by the Director. Such fees include all expenses incurred by CONSULTANT in performance of such services.
- (b) Detailed statements shall be rendered monthly and will be payable in the normal course of CITY business. Such statements shall be for an amount no greater than that attributable to the Part upon which CONSULTANT is then engaged as provided in Section 3(c) below.
- (c) For purposes of determining the division of the total compensation to CONSULTANT as provided in Section 3(a) above, or should performance of any succeeding Part not be authorized by CITY as provided in Section 1 of this Agreement, it is agreed that the total compensation shall be allocated to the five Parts of CONSULTANT'S performance as follows: Part 1 Fifteen percent (15%), Part 2 Forty Eight percent (48%), Part 3 Sixteen percent (16%), Part 4 Five percent (5%) and Part 5 Sixteen percent (16%). Prior to the

award of a general construction contract for the Project, or should such contract not be awarded, the approved Parts as provided above shall be utilized for purposes of determining the fee due to CONSULTANT.

(d) The parties may modify this Agreement to increase or decrease the scope of services or provide for the rendition of services not required by this Agreement, which modification shall include an adjustment to CONSULTANT'S compensation. Any change in the scope of services must be made by written amendment to the Agreement signed by an authorized representative for each party. CONSULTANT shall not be entitled to any additional compensation if services are performed prior to a signed written amendment. Subsequent to the date of completion of Part Three, changes due to Code revisions or enactments adopted after such date shall constitute additional work subject to this Section 3(d).

4. Termination, Remedies, Force Majeure, and Consolidation of Disputes.

- (a) This Agreement shall terminate without any liability of CITY to CONSULTANT upon the earlier of: (i) CONSULTANT'S filing for protection under the federal bankruptcy laws, or any bankruptcy petition or petition for receiver commenced by a third party against CONSULTANT; (ii) 7 calendar days prior written notice with or without cause by CITY to CONSULTANT; (iii) CITY'S non-appropriation of funds sufficient to meet its obligations hereunder during any CITY fiscal year of this Agreement, or insufficient funding for the Project; or (iv) expiration of this Agreement.
- (b) Immediately upon any termination or expiration of this Agreement, CONSULTANT shall (i) immediately stop all work hereunder; (ii) immediately cause any and all of its subcontractors to cease work; and (iii) return to CITY any and all unearned payments and all properties and materials in the possession of CONSULTANT that are owned by CITY. Subject to the terms of this Agreement, CONSULTANT shall be paid compensation for services satisfactorily performed prior to the effective date of termination. CONSULTANT shall not be paid for any work or services performed or costs incurred which reasonably could have been avoided.
- (c) In the event of termination due to failure of CONSULTANT to satisfactorily perform in accordance with the terms of this Agreement, CITY may withhold an amount that would otherwise be payable as an offset to, but not in excess of, CITY'S damages caused by such failure. In no event shall any payment by CITY pursuant to this Agreement constitute a waiver by CITY of any breach of this Agreement which may then exist on the part of CONSULTANT, nor shall such payment impair or prejudice any remedy available to CITY with respect to the breach.
- (d) Upon any breach of this Agreement by CONSULTANT, CITY may (i) exercise any right, remedy (in contract, law or equity), or privilege which may be available to it under applicable laws of the State of California or any other applicable law; (ii) proceed by appropriate court action to enforce the terms of the Agreement; and/or (iii) recover all direct, indirect, consequential, economic and incidental damages for the breach of the Agreement. If it is determined that CITY improperly terminated this Agreement for default, such termination shall be deemed a termination for convenience.
- (e) CONSULTANT shall provide CITY with adequate written assurances of future performance, upon the request of the Director or his/her designee, in the event CONSULTANT fails to comply with any terms or conditions of this Agreement.

- (f) CONSULTANT shall be liable for default unless nonperformance is caused by an occurrence beyond the reasonable control of CONSULTANT and without its fault or negligence such as, acts of God or the public enemy, acts of CITY in its contractual capacity, fires, floods, epidemics, quarantine restrictions, strikes, unusually severe weather, and delays of common carriers. CONSULTANT shall notify the Director or his/her designee in writing as soon as it is reasonably possible after the commencement of any excusable delay, setting forth the full particulars in connection therewith, and shall remedy such occurrence with all reasonable dispatch, and shall promptly give written notice to the Director or his/her designee of the cessation of such occurrence.
- (g) CONSULTANT agrees that, notwithstanding any contrary provision in this Agreement, any dispute arising from or relating to this Agreement (including, without limitation, disputes based on contract, tort, equity or statute) may, at CITY'S option, be joined and consolidated with any other dispute or disputes arising from or relating to the Project may be resolved in a single proceeding. CONSULTANT hereby specifically waives any objection it may otherwise have to such joinder and consolidation and specifically consents to mediation, arbitration or any other dispute resolution mechanism, forum or proceeding necessary to effectuate the joinder and consolidation contemplated by this provision.

Confidential Information, Ownership of Documents and Copyright License.

- (a) Any reports, information, or other data prepared or assembled by CONSULTANT pursuant to this Agreement shall not be made available to any individual or organization by CONSULTANT without the prior written approval of CITY. During the term of this Agreement, and thereafter, CONSULTANT shall not, without the prior written consent of CITY, disclose to anyone any Confidential Information. The term Confidential Information for the purposes of this Agreement shall include all proprietary and confidential information of CITY, including but not limited to business plans, marketing plans, financial information, designs, drawings, specifications, materials, compilations, documents, instruments, models, source or object codes and other information disclosed or submitted, orally, in writing, or by any other medium or media. All Confidential Information shall be and remain confidential and proprietary in CITY.
- (b) Any and all original sketches, pencil tracings of working drawings, plans, computations, specifications, computer disk files, writings and other documents prepared or provided by CONSULTANT pursuant to this Agreement are the property of CITY at the time of preparation and shall be turned over to CITY upon expiration or termination of the Agreement or default by CONSULTANT. CONSULTANT grants CITY a copyright license to use such drawings and writings. CONSULTANT shall not permit the reproduction or use thereof by any other person except as otherwise expressly provided herein. CITY may modify the design including any drawings or writings. Any use by CITY of the aforesaid sketches, tracings, plans, computations, specifications, computer disk files, writings and other documents in completed form as to other projects or extensions of this Project, or in uncompleted form, without specific written verification by CONSULTANT will be at CITY'S sole risk and without liability or legal exposure to CONSULTANT. CONSULTANT may keep a copy of all drawings and specifications for its sole and exclusive use.

- (c) If CONSULTANT should subcontract all or any portion of the services to be performed under this Agreement, CONSULTANT shall cause each subcontractor to also comply with the requirements of this Section 5.
 - (d) This Section 5 shall survive expiration or termination of this Agreement.
- 6. <u>Professional Skill</u>. It is further mutually understood and agreed by and between the parties hereto that inasmuch as CONSULTANT represents to CITY that CONSULTANT and its subcontractors, if any, are skilled in the profession and shall perform in accordance with the standards of said profession necessary to perform the services agreed to be done by it under this Agreement, CITY relies upon the skill of CONSULTANT and any subcontractors to do and perform such services in a skillful manner and CONSULTANT agrees to thus perform the services and require the same of any subcontractors. Therefore, any acceptance of such services by CITY shall not operate as a release of CONSULTANT or any subcontractors from said professional standards.
- 7. <u>Indemnification</u>. To the furthest extent allowed by law, CONSULTANT shall indemnify, hold harmless and defend CITY and each of its officers, officials, employees, agents and volunteers from any and all loss, liability, fines, penalties, forfeitures, costs and damages (whether in contract, tort or strict liability, including but not limited to personal injury, death at any time and property damage), and from any and all claims, demands and actions in law or equity (including reasonable attorney's fees and litigation expenses) that arise out of, pertain to, or relate to the negligence, recklessness or willful misconduct of CONSULTANT, its principals, officers, employees, agents or volunteers in the performance of this Agreement.

If CONSULTANT should subcontract all or any portion of the services to be performed under this Agreement, CONSULTANT shall require each subcontractor to indemnify, hold harmless and defend CITY and each of its officers, officials, employees, agents and volunteers in accordance with the terms of the preceding paragraph.

This section shall survive termination or expiration of this Agreement.

8. Insurance.

- (a) Throughout the life of this Agreement, CONSULTANT shall pay for and maintain in full force and effect all insurance as required in **Exhibit B**, which is incorporated into and part of this Agreement, with an insurance company(ies) either (i) admitted by the California Insurance Commissioner to do business in the State of California and rated no less than "A-VII" in the Best's Insurance Rating Guide, or (ii) as may be authorized in writing by CITY'S Risk Manager or his/her designee at any time and in his/her sole discretion. The required policies of insurance as stated in Exhibit B shall maintain limits of liability of not less than those amounts stated therein. However, the insurance limits available to CITY, its officers, officials, employees, agents and volunteers as additional insureds, shall be the greater of the minimum limits specified therein or the full limit of any insurance proceeds to the named insured.
- (b) If at any time during the life of the Agreement or any extension, CONSULTANT or any of its subcontractors/sub-consultants fail to maintain any required insurance in full force and effect, all services and work under this Agreement shall be discontinued immediately, and all payments due or that become due to CONSULTANT shall be withheld until notice is received by CITY that the required insurance has been restored to full force and effect and that the premiums therefore have been paid for a period satisfactory to CITY. Any failure to maintain the required insurance shall be sufficient cause for CITY to terminate this Agreement. No action taken by CITY pursuant to this section shall in any way

relieve CONSULTANT of its responsibilities under this Agreement. The phrase "fail to maintain any required insurance" shall include, without limitation, notification received by CITY that an insurer has commenced proceedings, or has had proceedings commenced against it, indicating that the insurer is insolvent.

- (c) The fact that insurance is obtained by CONSULTANT shall not be deemed to release or diminish the liability of CONSULTANT, including, without limitation, liability under the indemnity provisions of this Agreement. The duty to indemnify CITY shall apply to all claims and liability regardless of whether any insurance policies are applicable. The policy limits do not act as a limitation upon the amount of indemnification to be provided by CONSULTANT. Approval or purchase of any insurance contracts or policies shall in no way relieve from liability nor limit the liability of CONSULTANT, its principals, officers, agents, employees, persons under the supervision of CONSULTANT, vendors, suppliers, invitees, consultants, sub-consultants, subcontractors, or anyone employed directly or indirectly by any of them.
- (d) If CONSULTANT should subcontract all or any portion of the services to be performed under this Agreement, CONSULTANT shall require each subcontractor/subconsultant to provide insurance protection, as an additional insured, to the CITY and each of its officers, officials, employees, agents and volunteers in accordance with the terms of this section, except that any required certificates and applicable endorsements shall be on file with CONSULTANT and CITY prior to the commencement of any services by the subcontractor. CONSULTANT and any subcontractor/sub-consultant shall establish additional insured status for CITY, its officers, officials, employees, agents and volunteers by using Insurance Service Office (ISO) Form CG 20 10 11 85 or both CG 20 10 10 01 and CG 20 37 10 01 or by an executed manuscript company endorsement providing additional insured status as broad as that contained in ISO Form CG 20 10 11 85.

9. Conflict of Interest and Non-Solicitation.

- (a) Prior to CITY'S execution of this Agreement, CONSULTANT shall complete a City of Fresno conflict of interest disclosure statement in the form as set forth in **Exhibit C**. During the term of this Agreement, CONSULTANT shall have the obligation and duty to immediately notify CITY in writing of any change to the information provided by CONSULTANT in such statement.
- (b) CONSULTANT shall comply, and require its subcontractors to comply, with all applicable (i) professional canons and requirements governing avoidance of impermissible client conflicts; and (ii) federal, state and local conflict of interest laws and regulations including, without limitation, California Government Code Section 1090 et. seq., the California Political Reform Act (California Government Code Section 87100 et. seq.), the regulations of the Fair Political Practices Commission concerning disclosure and disqualification (2 California Code of Regulations Section 18700 et. seq.) and Section 4-112 of the Fresno Municipal Code (Ineligibility to Compete). At any time, upon written request of CITY, CONSULTANT shall provide a written opinion of its legal counsel and that of any subcontractor that, after a due diligent inquiry, CONSULTANT and the respective subcontractor(s) are in full compliance with all laws and regulations. CONSULTANT shall take, and require its subcontractors to take, reasonable steps to avoid any appearance of a conflict of interest. Upon discovery of any facts giving rise to the appearance of a conflict of interest, CONSULTANT shall immediately notify CITY of these facts in writing.

- (c) In performing the work or services to be provided hereunder, CONSULTANT shall not employ or retain the services of any person while such person either is employed by CITY or is a member of any CITY council, commission, board, committee, or similar CITY body. This requirement may be waived in writing by the City Manager, if no actual or potential conflict is involved.
- (d) CONSULTANT represents and warrants that it has not paid or agreed to pay any compensation, contingent or otherwise, direct or indirect, to solicit or procure this Agreement or any rights/benefits hereunder.
- (e) Neither CONSULTANT, nor any of CONSULTANT'S subcontractors performing any services on this Project, shall bid for, assist anyone in the preparation of a bid for, or perform any services pursuant to, any other contract in connection with this Project. CONSULTANT and any of its subcontractors shall have no interest, direct or indirect, in any other contract with a third party in connection with this Project unless such interest is in accordance with all applicable law and fully disclosed to and approved by the City Manager, in advance and in writing.
- (f) If CONSULTANT should subcontract all or any portion of the work to be performed or services to be provided under this Agreement, CONSULTANT shall include the provisions of this Section 9 in each subcontract and require its subcontractors to comply therewith.
 - (g) This Section 9 shall survive expiration or termination of this Agreement.
- 10. <u>Recycling Program</u>. In the event CONSULTANT maintains an office or operates a facility(ies), or is required herein to maintain or operate same, within the incorporated limits of the City of Fresno, CONSULTANT at its sole cost and expense shall:
 - (i) Immediately establish and maintain a viable and ongoing recycling program, approved by CITY'S Solid Waste Management Division, for each office and facility. Literature describing CITY recycling programs is available from CITY'S Solid Waste Management Division and by calling City of Fresno Recycling Hotline at (559) 621-1111.
 - (ii) Immediately contact CITY'S Solid Waste Management Division at (559) 621-1452 and schedule a free waste audit, and cooperate with such Division in their conduct of the audit for each office and facility.
 - (iii) Cooperate with and demonstrate to the satisfaction of CITY'S Solid Waste Management Division the establishment of the recycling program in paragraph (i) above and the ongoing maintenance thereof.

11. General Terms.

- (a) Except as otherwise provided by law, all notices expressly required of CITY within the body of this Agreement, and not otherwise specifically provided for, shall be effective only if signed by the Director or his/her designee.
- (b) Records of CONSULTANT'S expenses pertaining to the Project shall be kept on a generally recognized accounting basis and shall be available to CITY or its authorized

representatives upon request during regular business hours throughout the life of this Agreement and for a period of three years after final payment or, if longer, for any period required by law. In addition, all books, documents, papers, and records of CONSULTANT pertaining to the Project shall be available for the purpose of making audits, examinations, excerpts, and transcriptions for the same period of time. If any litigation, claim, negotiations, audit or other action is commenced before the expiration of said time period, all records shall be retained and made available to CITY until such action is resolved, or until the end of said time period whichever shall later occur. If CONSULTANT should subcontract all or any portion of the services to be performed under this Agreement, CONSULTANT shall cause each subcontractor to also comply with the requirements of this paragraph. This Section 11(b) shall survive expiration or termination of this Agreement.

- (c) Prior to execution of this Agreement by CITY, CONSULTANT shall have provided evidence to CITY that CONSULTANT is licensed to perform the services called for by this Agreement (or that no license is required). If CONSULTANT should subcontract all or any portion of the work or services to be performed under this Agreement, CONSULTANT shall require each subcontractor to provide evidence to CITY that subcontractor is licensed to perform the services called for by this Agreement (or that no license is required) before beginning work.
- (d) CONSULTANT'S services pursuant to this Agreement shall be provided under the supervision of Joe Prevendar, and he/she shall not assign another to supervise CONSULTANT'S performance of this Agreement without the prior written approval of the Director.
- 12. <u>Nondiscrimination</u>. To the extent required by controlling federal, state and local law, CONSULTANT shall not employ discriminatory practices in the provision of services, employment of personnel, or in any other respect on the basis of race, religious creed, color, national origin, ancestry, physical disability, mental disability, medical condition, marital status, sex, age, sexual orientation, ethnicity, status as a disabled veteran or veteran of the Vietnam era. Subject to the foregoing and during the performance of this Agreement, CONSULTANT agrees as follows:
- (a) CONSULTANT will comply with all applicable laws and regulations providing that no person shall, on the grounds of race, religious creed, color, national origin, ancestry, physical disability, mental disability, medical condition, marital status, sex, age, sexual orientation, ethnicity, status as a disabled veteran or veteran of the Vietnam era be excluded from participation in, be denied the benefits of, or be subject to discrimination under any program or activity made possible by or resulting from this Agreement.
- (b) CONSULTANT will not discriminate against any employee or applicant for employment because of race, religious creed, color, national origin, ancestry, physical disability, mental disability, medical condition, marital status, sex, age, sexual orientation, ethnicity, status as a disabled veteran or veteran of the Vietnam era. CONSULTANT shall ensure that applicants are employed, and the employees are treated during employment, without regard to their race, religious creed, color, national origin, ancestry, physical disability, mental disability, medical condition, marital status, sex, age, sexual orientation, ethnicity, status as a disabled veteran or veteran of the Vietnam era. Such requirement shall apply to CONSULTANT'S employment practices including, but not be limited to, the following: employment, upgrading, demotion or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship.

CONSULTANT agrees to post in conspicuous places, available to employees and applicants for employment, notices setting forth the provision of this nondiscrimination clause.

- (c) CONSULTANT will, in all solicitations or advertisements for employees placed by or on behalf of CONSULTANT in pursuit hereof, state that all qualified applicants will receive consideration for employment without regard to race, religious creed, color, national origin, ancestry, physical disability, mental disability, medical condition, marital status, sex, age, sexual orientation, ethnicity, status as a disabled veteran or veteran of the Vietnam era.
- (d) CONSULTANT will send to each labor union or representative of workers with which it has a collective bargaining agreement or other contract or understanding, a notice advising such labor union or workers' representatives of CONSULTANT'S commitment under this section and shall post copies of the notice in conspicuous places available to employees and applicants for employment.
- (e) If CONSULTANT should subcontract all or any portion of the services to be performed under this Agreement, CONSULTANT shall cause each subcontractor to also comply with the requirements of this Section 12.

13. Independent Contractor.

- (a) In the furnishing of the services provided for herein, CONSULTANT is acting solely as an independent contractor. Neither CONSULTANT, nor any of its officers, agents or employees shall be deemed an officer, agent, employee, joint venturer, partner or associate of CITY for any purpose. CITY shall have no right to control or supervise or direct the manner or method by which CONSULTANT shall perform its work and functions. However, CITY shall retain the right to administer this Agreement so as to verify that CONSULTANT is performing its obligations in accordance with the terms and conditions thereof.
- (b) This Agreement does not evidence a partnership or joint venture between CONSULTANT and CITY. CONSULTANT shall have no authority to bind CITY absent CITY'S express written consent. Except to the extent otherwise provided in this Agreement, CONSULTANT shall bear its own costs and expenses in pursuit thereof.
- (c) Because of its status as an independent contractor, CONSULTANT and its officers, agents and employees shall have absolutely no right to employment rights and benefits available to CITY employees. CONSULTANT shall be solely liable and responsible for all payroll and tax withholding and for providing to, or on behalf of, its employees all employee benefits including, without limitation, health, welfare and retirement benefits. In addition, together with its other obligations under this Agreement, CONSULTANT shall be solely responsible, indemnify, defend and save CITY harmless from all matters relating to employment and tax withholding for and payment of CONSULTANT'S employees, including, without limitation, (i) compliance with Social Security and unemployment insurance withholding, payment of workers' compensation benefits, and all other laws and regulations governing matters of employee withholding, taxes and payment; and (ii) any claim of right or interest in CITY employment benefits, entitlements, programs and/or funds offered employees of CITY whether arising by reason of any common law, de facto, leased, or co-employee rights or other theory. It is acknowledged that during the term of this Agreement, CONSULTANT may be providing services to others unrelated to CITY or to this Agreement.

- 14. <u>Notices</u>. Any notice required or intended to be given to either party under the terms of this Agreement shall be in writing and shall be deemed to be duly given if delivered personally, transmitted by facsimile followed by telephone confirmation of receipt, or sent by United States registered or certified mail, with postage prepaid, return receipt requested, addressed to the party to which notice is to be given at the party's address set forth on the signature page of this Agreement or at such other address as the parties may from time to time designate by written notice. Notices served by United States mail in the manner above described shall be deemed sufficiently served or given at the time of the mailing thereof.
- 15. <u>Binding.</u> Subject to Section 16 below, once this Agreement is signed by all parties, it shall be binding upon, and shall inure to the benefit of, all parties, and each parties' respective heirs, successors, assigns, transferees, agents, servants, employees and representatives.

16. Assignment.

- (a) This Agreement is personal to CONSULTANT and there shall be no assignment by CONSULTANT of its rights or obligations under this Agreement without the prior written approval of the City Manager or his/her designee. Any attempted assignment by CONSULTANT, its successors or assigns, shall be null and void unless approved in writing by the City Manager or his/her designee.
- (b) CONSULTANT hereby agrees not to assign the payment of any monies due CONSULTANT from CITY under the terms of this Agreement to any other individual(s), corporation(s) or entity(ies). CITY retains the right to pay any and all monies due CONSULTANT directly to CONSULTANT.
- 17. <u>Compliance With Law.</u> In providing the services required under this Agreement, CONSULTANT shall at all times comply with all applicable laws of the United States, the State of California and CITY, and with all applicable regulations promulgated by federal, state, regional, or local administrative and regulatory agencies, now in force and as they may be enacted, issued, or amended during the term of this Agreement.
- 18. <u>Waiver</u>. The waiver by either party of a breach by the other of any provision of this Agreement shall not constitute a continuing waiver or a waiver of any subsequent breach of either the same or a different provision of this Agreement. No provisions of this Agreement may be waived unless in writing and signed by all parties to this Agreement. Waiver of any one provision herein shall not be deemed to be a waiver of any other provision herein.
- 19. <u>Governing Law and Venue</u>. This Agreement shall be governed by, and construed and enforced in accordance with, the laws of the State of California, excluding, however, any conflict of laws rule which would apply the law of another jurisdiction. Venue for purposes of the filing of any action regarding the enforcement or interpretation of this Agreement and any rights and duties hereunder shall be Fresno County, California.
- 20. <u>Headings</u>. The section headings in this Agreement are for convenience and reference only and shall not be construed or held in any way to explain, modify or add to the interpretation or meaning of the provisions of this Agreement.
- 21. <u>Severability</u>. The provisions of this Agreement are severable. The invalidity, or unenforceability of any one provision in this Agreement shall not affect the other provisions.

- 22. <u>Interpretation</u>. The parties acknowledge that this Agreement in its final form is the result of the combined efforts of the parties and that, should any provision of this Agreement be found to be ambiguous in any way, such ambiguity shall not be resolved by construing this Agreement in favor of or against either party, but rather by construing the terms in accordance with their generally accepted meaning.
- 23. Attorney's Fees. If either party is required to commence any proceeding or legal action to enforce or interpret any term, covenant or condition of this Agreement, the prevailing party in such proceeding or action shall be entitled to recover from the other party its reasonable attorney's fees and legal expenses.
- 24. <u>Exhibits</u>. Each exhibit and attachment referenced in this Agreement is, by the reference, incorporated into and made a part of this Agreement.
- 25. <u>Precedence of Documents</u>. In the event of any conflict between the body of this Agreement and any Exhibit or Attachment hereto, the terms and conditions of the body of this Agreement shall control and take precedence over the terms and conditions expressed within the Exhibit or Attachment. Furthermore, any terms or conditions contained within any Exhibit or Attachment hereto which purport to modify the allocation of risk between the parties, provided for within the body of this Agreement, shall be null and void.
- 26. <u>Cumulative Remedies</u>. No remedy or election hereunder shall be deemed exclusive but shall, wherever possible, be cumulative with all other remedies at law or in equity.
- 27. <u>No Third Party Beneficiaries</u>. The rights, interests, duties and obligations defined within this Agreement are intended for the specific parties hereto as identified in the preamble of this Agreement. Notwithstanding anything stated to the contrary in this Agreement, it is not intended that any rights or interests in this Agreement benefit or flow to the interest of any third parties.
- 28. Extent of Agreement. Each party acknowledges that they have read and fully understand the contents of this Agreement. This Agreement represents the entire and integrated agreement between the parties with respect to the subject matter hereof and supersedes all prior negotiations, representations or agreements, either written or oral. This Agreement may be modified only by written instrument duly authorized and executed by both CITY and CONSULTANT.

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IN WITNESS WHEREOF, the parties California, the day and year first above written.	have executed this Agreement at Fresno,
CITY OF FRESNO, a California municipal corporation	Electrical Power Systems, Inc., Joe Prevendar, P.E., President
By: Michael Carbajal, Director Department of Public Utilities ATTEST: YVONNE SPENCE, CMC City Clerk By:	Name: Joe Prevendar, P.E. Title: President [if corporation or LLC, Board Chair, Pres. or Vice Pres.] By: Magans
By: Deputy	Name: Theresa Hagans
No signature of City Attorney required. Standard Document #DPU-S 9.1 has been used without modification, as certified by the undersigned. By: Raul S. Gonzalez Professional Engineer Department of Public Utilities REVIEWED BY: Brian Spindor, Assistant Director Department of Public Utilities	[if corporation or LLC, CFO, Treasurer, Secretary or Assistant Secretary.] Any Applicable Professional License: Number: 1658 Name: Joseph P. Prevendar Date of Issuance: Dec. 2001
Addresses: CITY: City of Fresno Attention: Raul Gonzalez, Professional Engineer 5607 W. Jensen Avenue Fresno, CA 93706 Phone: (559) 621-5290 FAX: (559) 498-1700	CONSULTANT: Electrical Power Systems, Inc. Attention: Joe Prevendar, President 4049 N. Fresno Street Fresno, CA 93726-4004 Phone: (559) 221-7230 FAX: (559) 221-0507
Attachments: 1. Exhibit A - Scope of Services	

- 2.
- Exhibit B Insurance Requirements
 Exhibit C Conflict of Interest Disclosure Form 3.

Exhibit A

SCOPE OF SERVICES Consultant Service Agreement between City of Fresno ("City") and Electrical Power Systems, Inc. ("Consultant") Design of Substation Expansion PROJECT TITLE

See attached Exhibit A

FRESNO RWRF SUBSTATION UPGRADE

SCOPE OF WORK

PROJECT OVERVIEW

The City of Fresno (COF) Department of Utilities owns and maintains a substation for the Regional Wastewater Reclamation Facility (RWRF) at 5607 West Jensen Avenue in Fresno, California. The substation obtains power from the adjacent PG&E New Kearney Substation at 70 kV Transmission voltage. It is stepped down by a 10 MVA, 70 KV to 12 KV transformer. A circuit switcher protects the high side, and the low side feeds the 12 KV switchgear housed in a block wall building. Revenue instrumentation and metering is on the 70kV high side.

This project will expand the substation to add another 115 KV/70 KV to 12 KV transformer, rated 10 MVA (size will be verified with five year load study). Substation insulated for 115kV. This expansion will include the addition of a high-side circuit switcher and connection to the existing switchgear. The expansion will expand the yard by a minimum of 116 ft. X 55 ft. COF has further delineated the scope into the key areas below.

PROJECT SCOPE

SUBSTATION (Outdoor Work)

- Expand the substation yard to the south. The expanded yard will require treatment with weed abatement and needs a gravel surface to match the existing yard.
- 2. Provide a re-routed P.G.& E. access road to the north since the new substation will block the current access road on the south.
- 3. The new design will widen the existing 10-foot-wide access road to 16 ft., with a base of rock and a gravel surface.
- 4. Install a 70kV transverse bus, including bus structures, foundations, insulators, and connections.
- 5. Install a 70kV circuit switcher, its structure, and foundation.
- 6. Install 70kV connections.
- 7. Install a 10/12.5 MVA (load study to verify) 70kV/12kV (Oil filled, Natural convection, Air cooled, Fans) OAFA transformer, and its foundation.

Install a load tap changer with digital tap changer control for the new transformer.

- 8. Install 12 kV pothead and riser, extend existing 6" spare PVC conduit to the riser.
- 9. Install 12 kV underground cable to the switchgear, cell #4 (to be verified) using the extended 6" spare PVC conduit.

- 10.Install conduit system for Protection and Control (P&C) circuits. Conduits will run underground outdoors. Conduit runs could stub up outside switchgear building and go aboveground into the building.
- 11. Pull new P&C circuits to switchgear building as needed from remote sensors and switches.
- 12. Perform a 4 point Wenner soil resistivity test by a soils testing firm hired by COF.. An SKM Ground mat analysis done by EPS will be performed using this data and will be used to design the ground grid. The new ground grid will be installed to ensure step touch potentials are below dangerous voltages.
- 13. The design will connect all equipment, steel structures, cabinets, and junction boxes to the ground grid following industry best practices.
- 14. The extended substation yard area will have ground grid connected to the existing substation yard. The new/extended fence will be grounded per COF and I.E.E.E. practice including privacy slats.
- 15. The EPS team will evaluate the spill containment and implement the approved recommendations. This containment system will (likely) include a berm around the transformers to contain 110% or so (TBD) of the largest volume of equipment that contains oil (nominal 6000 gallons). An oil water separator system will be included. Technical assistance will be provided for the City's Spill Prevention, Control, and Countermeasure (SPCC) Plan.

POWER AND CONTROL (Indoor Work)

- 1. The new design will not affect the existing Transfer Trip scheme. The RWRF no longer performs power generation. No paralleling of the two substation transformers. The substation addition will require a new service application to P.G.E. The existing service is for parallel generation. This will be changed to "load only" application. New interconnection rules are in place since the original substation was a designated "Generating Interconnect" on protective relay requirements have changed. Renewed application may, or may not, require updated protection. Changes to the design of the existing protection is not included in the fee proposal and will require an additional fee proposal. Existing and new 70 KV CT/PT units will be evaluated on existing substation, new units will be installed on the new transformer high side.
- 2. EPS will design transformer protection for a new 10 MVA transformer. The new design will not plan for any transformer paralleling.
- 3. We will use the existing spare circuit breaker CMB in switchgear line-up for the incoming 12 kV cables from the new 10 MVA transformer.
- 4. EPS's design will interlock the 12kV tie breaker connecting the two switchgear bus sections to prevent it's closing if both of substation breakers are closed, to prevent paralleling the substations. One 12 kV bus section will remain connected to the existing 10 MVA transformer. The other bus section will now connect to the second 12 kV bus section.

- Design an alternate feed to the Headworks main switchgear so it can be fed from either substation transformer A or B or the emergency PG&E 12 KV feed. Interlocks will be provided to prevent feeding the same bus from two sources simultaneously.
- Circuit Switcher will have local protection and controls only. The switchgear building design has no plans for new controls. If PG&E requests changes to this an additional fee proposal will be required to adjust existing equipment wiring diagrams.
- 7. EPS's new design will collect equipment status in the switchgear building, with PLC I/O and communication back to the SCADA.
- 8. During the design process, the EPS design team will work with COF staff to determine new protection schemes or modifications to existing protection schemes.
- 9. A combined breaker coordination study for the substation circuit breakers to headworks feed breakers will be completed.

SECURITY AND MISCELLANEOUS

Add CCTV system to cover the existing and new yard area. This will be a tie-in to the existing system.

1. Development of a Planning Study

EPS will provide a load study based on COF provided connected and or demand load inputs to determine existing load and potential growth over a five-year period (based on COF input). The load study will facilitate proper sizing of new equipment. The substation expansion should be adequate to support the loads within the next five years. COF will provide their previous load study and their five-year load forecast to the EPS design team. The new study does not require a PG&E parallel generation Interconnection study.

2. Preparing Pre-purchase Long-Lead Equipment Specifications (Transformer and Switcher) COF will execute the procurement function. EPS's team will prepare equipment specifications for long lead materials, based on the scope of work and preliminary engineering and design data. EPS will ensure that the specifications are consistent with the City of Fresno's RWRF requirements, applicable codes, and industry standards. In addition, EPS will be careful to specify equipment that is compatible with existing equipment at the RWRF substation and facility. The EPS design team will review and approve all vendor drawings / submittals before authorization to is released..

ACCESS ROAD DESIGN - Structural Engineer

The existing access road is 10 feet wide. EPS's new design will widen the road to 16 feet to ensure equipment access and ability to accommodate a mobile transformer. The roadway shall have paving with a two-inch-thick (2")

(to be verified by structural engineer) compacted thickness of Type B, ½" maximum size aggregate, asphalt concrete, per State of California Department of Transportation Standard Specifications. The design will specify that the paving asphalt mixed with aggregate shall be Grade AR-8000 or AR-16000. The finished surface shall be treated with SS-1H or CSS-1H emulsified asphalt (50% water) fog seal, applied at a rate of 0.1 gal. /sq. yd. Roadway design will have a slope for water drainage at 2.5% both ways from the crown. Plus modifications to substation.

SUBSTATION AREA PAVING Structural Engineer

The proposed location of the substation expansion consists of two adjoined areas, defined as the "Existing Substation" and the "Proposed Substation." Both locations are equal in area and shape, the service road will be moved to the north. The "Existing Substation" has a service/access road, which the new design will widen from 10 to 16 feet to facilitate maintenance of both substations as well as the existing 70kV transmission line from PG&E. We have addressed the proposed work on both stations below.

Existing Substation Yard Improvements

- 1. The existing gravel yard area needs restoration. EPS design will follow existing area topography to restore the gravel surfaced yard area. The design will incorporate a 4" thickness of 3/4" maximum size aggregate on the roadway, parking areas, and the yard area. The aggregate shall be compacted to a minimum of 95% of maximum density and shall conform to requirements of "Class 2 Aggregate Base" as described by the State of California's Department of Transportation Standard Specification, Section 26, except for where yard areas will not receive asphalt concrete paving. In this case, the coarse aggregate shall consist of material which is at least 90% crushed particles by weight.
- 2. The surface will receive weed abatement treatment. Herbicide shall be applied in accordance with the manufacturer's recommendation to all graded areas within the fence line. The selected herbicide shall provide the most appropriate control of a wide variety of broadleaf and grass weeds, and a pest control advisor shall recommend it for application. Trained and qualified personnel will perform the herbicide application.
- 3. The design shall incorporate the containment study and mitigation, as well as implementation.
- 4. Fence and gate enclosure will accommodate the expansion area.

Proposed Substation Yard Gravel and Paving

 COF will complete the topographic survey of the new substation site as per COF requirements.

- The original ground surface shall be cleared and grubbed to remove all vegetation and deleterious material. Soft material that will not compact shall be removed and replaced with engineered or other suitable compactable material.
- Areas that will receive fill or finish paving shall have the top 6" compacted to a minimum of 95% of maximum density. The soil shall be compacted at or close to optimum moisture content.
- ASTM D-1557-91 (Modified Proctor) shall determine maximum density. In place, ASTM D-1556-90 shall determine density, supplemented by the nuclear density and moisture probes.
- 5. Herbicide shall be applied in accordance to Item 2 of "Existing Substation."
- 6. For finish surface, a 4" thickness of ¾" maximum size aggregate shall be used on the roadway, parking areas and the yard area. The aggregate shall be compacted to a minimum of 95% of maximum density and shall conform to requirements of "Class 2 Aggregate Base" as described by the State of California. Department of Transportation Standard Specification, Section 26, except that where yard areas will not receive asphalt concrete paving, the coarse aggregate shall consist of material which is at least 90% by weight, shall be crushed particles.
- 7. The design will implement the oil containment study and mitigation.
- 8. Fence and gate enclosure design shall be implemented.

CEQA ASSISTANCE

COF expects engineering support only in providing design/documents for CEQA as needed. COF expects conformance and negative declaration narrative for this project.

60-70% Design EPS understands that CEQA assistance consists of providing engineering drawings to help COF develop a project description from which the CEQA evaluation will develop. Preliminary engineering will include approximate locations of all physical components of the substation, as well as those related to construction. For physical components, this will include access road(s), substation location, transmission lines, security fencing, etc. For elements related to construction, the preliminary engineering will include: proposed laydown areas, work areas, temporary access road(s) if applicable, and areas where vegetation removal may occur, and any areas requiring grading.

Potential environmental issues identified will then help the EPS team to design the expanded substation to minimize environmental impacts. The issue initially identified is containment for the mineral or vegetable oil in the transformer.

PERMITTING ASSISTANCE

We understand that EPS will develop and provide engineering drawings for COF to submit with permit applications. COF will prepare the environmental applications, submit the construction permit applications, and pay all permit fees. EPS will provide the drawings and engineering documents required for the permits.

COORDINATION WITH PG&E

The RWRF substation connects to the PG&E 70 kV line emanating from the PG&E New Kearney Substation. EPS will contact PG&E's service representative and provide data for the substation expansion of the RWRF facility. EPS will work with PG&E to coordinate any modifications to the interconnection requirements, and EPS will include and implement necessary upgrades in the scope. Should PG&E require any upgrades at the PG&E facilities, EPS does not know what these would consist of at this time and will provide an additional cost proposal for these design services if significant in scope. If PG&E requires drawings reformatted and done to PG&E requirements, fees will be extra for preparation.

SECURITY UPGRADES/CCTV, IF REQUIRED

EPS will add CCTV design to cover the existing and expanded substation yard area. This will only entail running a conduit and fiber to tie into the existing system.

DESIGN DOCUMENTS

EPS will provide drawings, specifications, and construction estimates covering the substation expansion/upgrade project for RWRF. The engineering and design work shall provide complete and accurate details for a successful installation and to make the RWRF substation operate in a timely and cost-effective manner. The EPS team will obtain RWRF design input through the design review process and the design review meetings that we outlined in our previous Statement of Qualifications.

A ground electrode mat design will be performed using SKM ground matt software and the results of the 4 point Wenner ground tests.

The existing 125 VDC battery system for the control power for the 12 KV breakers will be tested for capacity by a third party testing service hired by the City and design modified if necessary. PG&E requires a 3 hour load test of the batteries with voltage measurements every 15 minutes and a trip test at the end.

A detailed substation plan will include site plan, site elevation view, and single line, and applicable details, 70 KV relay coordination, grounding, fencing, structural and civil.

A PLC and SCADA plan will be developed to accommodate new I/O points and network of power monitor devices to bring data into the existing SCADA system. The WWTP SKM electrical system model will be updated to include the new substation and changes to the distribution system. COF to provide current PG&E available short circuit current at 70 KV transmission connection.

Equipment plans and specifications suitable for bidding will be provided.

COF will scan and provide the as-built drawings to EPS. If existing Substation and switchgear drawings are also available, then COF will provide these to EPS, also. EPS will modify existing drawings other than shop drawings or those not native AutoCAD and create new drawings based upon mutually agreed CAD standards upon project initiation.

BIDDING SUPPORT: BID QUESTIONS AND ADDENDA

EPS will develop the Construction bid package that COF will use to solicit Construction bids. The bid package will consist of specifications and the Issued-For-Construction drawings. EPS will support the pre- bid meeting and the bid walk and provide responses to questions and clarifications through the COF. After COF receives the bids, EPS will provide bid evaluation and recommend the winning bid that meets all the requirements of the COF and the construction specifications and drawings.

CONSTRUCTION SUPPORT

During construction, EPS will provide engineering support only, unless COF desires additional services. This support will include responding to RFIs and normal telephone consultations. COF expects EPS to visit the site during construction to observe and report non-conformance or deviation from specifications and design. These visits will include pre-construction meeting and visits at or around construction milestones. Note: There will be PG&E shutdowns while the 70 KV cross tie is installed. EPS to assist COF in this shutdown/tie in coordination planning

SHOP WITNESS TESTING, IF NEEDED

EPS will provide engineering support only and will not provide on-site testing services. EPS expects to answer any shop questions from our office locations, over the phone, or via email.

TESTING AND STARTUP

EPS will not perform testing and start up. Startup and testing activities will be the responsibility of the General Contractor. The General Contractor will be required to obtain the services of a third-party NETA firm to perform testing. EPS to be present and observe PG&E power protection inspection and final energization. EPS will establish all testing and startup procedures. EPS to review and comment on test results.

AS-BUILT DRAWINGS

The project closeout phase will include as-built drawings. After construction is complete and the expansion has performed a successful testing and start up, EPS will collect all as-built markups and conduct a red-line review meeting with construction

personnel. Thereafter, EPS will incorporate the as-built changes and then issue the drawings. EPS and or the equipment vendor will provide training to COF personnel for the new equipment.

Other services not in fee proposal:

1)Surveying of site and existing grading plans to be by City.

Exhibit B

INSURANCE REQUIREMENTS Consultant Service Agreement between City of Fresno ("CITY") and Electrical Power Systems, Inc. ("CONSULTANT")

Design of Substation Expansion PROJECT TITLE

MINIMUM SCOPE OF INSURANCE

Coverage shall be at least as broad as:

- 1. The most current version of Insurance Services Office (ISO) Commercial General Liability Coverage Form CG 00 01, providing liability coverage arising out of your business operations. The Commercial General Liability policy shall be written on an occurrence form and shall provide coverage for "bodily injury," "property damage" and "personal and advertising injury" with coverage for premises and operations (including the use of owned and non-owned equipment), products and completed operations, and contractual liability (including, without limitation, indemnity obligations under the Agreement) with limits of liability not less than those set forth under "Minimum Limits of Insurance."
- 2. The most current version of ISO *Commercial Auto Coverage Form CA 00 01, providing liability coverage arising out of the ownership, maintenance or use of automobiles in the course of your business operations. The Automobile Policy shall be written on an occurrence form and shall provide coverage for all owned, hired, and non-owned automobiles or other licensed vehicles (Code 1- Any Auto). If personal automobile coverage is used, the CITY, its officers, officials, employees, agents and volunteers are to be listed as additional insureds.
- 3. Workers' Compensation insurance as required by the State of California and Employer's Liability Insurance.
- 4. Professional Liability (Errors and Omissions) insurance appropriate to CONSULTANT'S profession. Architect's and engineer's coverage is to be endorsed to include contractual liability.

MINIMUM LIMITS OF INSURANCE

CONSULTANT, or any party the CONSULTANT subcontracts with, shall maintain limits of liability of not less than those set forth below. However, insurance limits available to CITY, its officers, officials, employees, agents and volunteers as additional insureds, shall be the greater of the minimum limits specified herein or the full limit of any insurance proceeds available to the named insured:

1. **COMMERCIAL GENERAL LIABILITY**:

- (i) \$1,000,000 per occurrence for bodily injury and property damage;
- (ii) \$1,000,000 per occurrence for personal and advertising injury;
- (iii) \$2,000,000 aggregate for products and completed operations; and,

(iv) \$2,000,000 general aggregate applying separately to the work performed under the Agreement.

2. **COMMERCIAL AUTOMOBILE LIABILITY**:

\$1,000,000 per accident for bodily injury and property damage.

OR*

PERSONAL AUTOMOBILE LIABILITY insurance with limits of liability not less than:

- (i) \$100,000 per person;
- (ii) \$300,000 per accident for bodily injury; and,
- (iii) \$50,000 per accident for property damage.
- 3. **WORKERS' COMPENSATION INSURANCE** as required by the State of California with statutory limits.

4. **EMPLOYER'S LIABILITY**:

- (i) \$1,000,000 each accident for bodily injury;
- (ii) \$1,000,000 disease each employee; and,
- (iii) \$1,000,000 disease policy limit.

5. **PROFESSIONAL LIABILITY** (Errors and Omissions):

- (i) \$1,000,000 per claim/occurrence; and,
- (ii) \$2,000,000 policy aggregate.

UMBRELLA OR EXCESS INSURANCE

In the event CONSULTANT purchases an Umbrella or Excess insurance policy(ies) to meet the "Minimum Limits of Insurance," this insurance policy(ies) shall "follow form" and afford no less coverage than the primary insurance policy(ies). In addition, such Umbrella or Excess insurance policy(ies) shall also apply on a primary and non-contributory basis for the benefit of the CITY, its officers, officials, employees, agents and volunteers.

DEDUCTIBLES AND SELF-INSURED RETENTIONS

CONSULTANT shall be responsible for payment of any deductibles contained in any insurance policy(ies) required herein and CONSULTANT shall also be responsible for payment of any self-insured retentions. Any deductibles or self-insured retentions must be declared on the Certificate of Insurance, and approved by, the CITY'S Risk Manager or his/her designee. At the option of the CITY'S Risk Manager or his/her designee, either:

- (i) The insurer shall reduce or eliminate such deductibles or self-insured retentions as respects CITY, its officers, officials, employees, agents and volunteers; or
- (ii) CONSULTANT shall provide a financial guarantee, satisfactory to CITY'S Risk Manager or his/her designee, guaranteeing payment of losses and

related investigations, claim administration and defense expenses. At no time shall CITY be responsible for the payment of any deductibles or self-insured retentions.

OTHER INSURANCE PROVISIONS/ENDORSEMENTS

<u>The General Liability and Automobile Liability insurance policies</u> are to contain, or be endorsed to contain, the following provisions:

- CITY, its officers, officials, employees, agents and volunteers are to be covered as additional insureds. CONSULTANT shall establish additional insured status for the City and for all ongoing and completed operations by use of ISO Form CG 20 10 11 85 or both CG 20 10 10 01 and CG 20 37 10 01 or by an executed manuscript insurance company endorsement providing additional insured status as broad as that contained in ISO Form CG 20 10 11 85.
- 2. The coverage shall contain no special limitations on the scope of protection afforded to CITY, its officers, officials, employees, agents and volunteers. Any available insurance proceeds in excess of the specified minimum limits and coverage shall be available to the Additional Insured.
- 3. For any claims related to this Agreement, CONSULTANT'S insurance coverage shall be primary insurance with respect to the CITY, its officers, officials, employees, agents and volunteers. Any insurance or self-insurance maintained by the CITY, its officers, officials, employees, agents and volunteers shall be excess of CONSULTANT'S insurance and shall not contribute with it. CONSULTANT shall establish primary and non-contributory status by using ISO Form CG 20 01 04 13 or by an executed manuscript insurance company endorsement that provides primary and non-contributory status as broad as that contained in ISO Form CG 20 01 04 13.

<u>The Workers' Compensation insurance policy</u> is to contain, or be endorsed to contain, the following provision: CONSULTANT and its insurer shall waive any right of subrogation against CITY, its officers, officials, employees, agents and volunteers.

<u>If the *Professional Liability (Errors and Omissions) insurance policy* is written on a claims-made form:</u>

- 1. The retroactive date must be shown, and must be before the effective date of the Agreement or the commencement of work by CONSULTANT.
- 2. Insurance must be maintained and evidence of insurance must be provided for at least five (5) years after completion of the Agreement work or termination of the Agreement, whichever occurs first, or, in the alternative, the policy shall be endorsed to provide not less than a five (5) year discovery period.
- 3. If coverage is canceled or non-renewed, and not replaced with another claimsmade policy form with a retroactive date prior to the effective date of the Agreement or the commencement of work by CONSULTANT, CONSULTANT must purchase "extended reporting" coverage for a minimum of five (5) years

after completion of the Agreement work or termination of the Agreement, whichever occurs first.

- 4. A copy of the claims reporting requirements must be submitted to CITY for review.
- 5. These requirements shall survive expiration or termination of the Agreement.

<u>All policies of insurance</u> required herein shall be endorsed to provide that the coverage shall not be cancelled, non-renewed, reduced in coverage or in limits except after thirty (30) calendar days written notice by certified mail, return receipt requested, has been given to CITY. CONSULTANT is also responsible for providing written notice to the CITY under the same terms and conditions. Upon issuance by the insurer, broker, or agent of a notice of cancellation, non-renewal, or reduction in coverage or in limits, CONSULTANT shall furnish CITY with a new certificate and applicable endorsements for such policy(ies). In the event any policy is due to expire during the work to be performed for CITY, CONSULTANT shall provide a new certificate, and applicable endorsements, evidencing renewal of such policy not less than fifteen (15) calendar days prior to the expiration date of the expiring policy.

VERIFICATION OF COVERAGE

CONSULTANT shall furnish CITY with all certificate(s) and applicable endorsements effecting coverage required hereunder. All certificates and applicable endorsements are to be received and approved by the CITY'S Risk Manager or his/her designee prior to CITY'S execution of the Agreement and before work commences. All non-ISO endorsements amending policy coverage shall be executed by a licensed and authorized agent or broker. Upon request of CITY, CONSULTANT shall immediately furnish City with a complete copy of any insurance policy required under this Agreement, including all endorsements, with said copy certified by the underwriter to be a true and correct copy of the original policy. This requirement shall survive expiration or termination of this Agreement.

Exhibit C

DISCLOSURE OF CONFLICT OF INTEREST

Design of Substation Expansion PROJECT TITLE

		YES*	NO	
1	Are you currently in litigation with the City of Fresno or any of its agents?		Ø	
2	Do you represent any firm, organization or person who is in litigation with the City of Fresno?		Ø	
3	Do you currently represent or perform work for any clients who do business with the City of Fresno?		M	
4	Are you or any of your principals, managers or professionals, owners or investors in a business which does business with the City of Fresno, or in a business which is in litigation with the City of Fresno?		Ø	
5	Are you or any of your principals, managers or professionals, related by blood or marriage to any City of Fresno employee who has any significant role in the subject matter of this service?		\boxtimes	
6	Do you or any of your subcontractors have, or expect to have, any interest, direct or indirect, in any other contract in connection with this Project?		\boxtimes	
* If t	he answer to any question is yes, please explain in full below.			
Expla	Signature September 26 Date			
	Joe Prevendar (name)			
	Electrical Power (company)	Electrical Power Systems, Inc. (company) 4049 N. Fresno Street		
25	4049 N. Fresno (address)	4049 N. Fresno Street (address)		
☐ Add	ditional page(s) attached. Fresno, CA 93 (city state zip)	726		